

Follow-up Programme of Activity

Appendix 1

			Recommendations Made				Follow-up Outcome				
							Outstanding Issues				
Dept Code	Department/Audit	Original Assurance Rating	Red	Amber	Green	Follow-up Date	Red	Amber	Green	Total Outstanding Recs	Current Assurance Rating
Chamberlain's	IT - MOBILE DEVICES	Amber	0	8	3	Completed	0	0	0	0	Green
Chamberlain's	COUNCIL TAX	Green	0	0	1	Completed	0	0	0	0	Green
City of London School	SCHOOL FEES	Green	0	1	1	Completed	0	0	0	0	Green
Mansion House	INCOME AND EXPENDITURE	Red	3	8	2	Completed	0	0	0	0	Green
City of London School	CYBER (recs 4- 2848, 6-2846,7-2797)	Red	2	11	0	May-20	1	0	0	1	Amber
Barbican Centre	STRATEGIC PLANNING, MONITORING & IMPLEMENTATION	Amber	0	2	0	May-20	0	2	0	2	Amber
Community and Children's Services	ASYLUM SEEKERS	Red	4	5	0	May-20	1	0	0	1	Amber
City of London Freemens School	CYBER SECURITY (2-2815,3 - 2816, 6-2822, 7-2853, 12-2830)	Red	3	11	0	Jun-20	0	3	1	4	Amber
City of London School for Girls	CYBER SECURITY (2-2989, 8-2951, 10-3040, 11-2950)	Red	3	12	1	Jun-20	0	4	0	4	Amber
Barbican Centre	FRAUD RISK MANAGEMENT	Amber	0	7	4	Jul-20					
Barbican Centre	FINANCIAL MONITORING	Green	0	0	2	Jul-20					
Chamberlain's	MAZARS - Software Devepment Life Cycle (SDLC)	Red	1	1	0	Jul-20					
City of London Police	FRONT DESKS	Amber	1	4	0	Jul-20					
City of London School for Girls	BUDGET ESTIMATING	Amber	1	1	0	Jul-20					
City Surveyors	COMMERCIAL LEASES	Amber	0	3	1	Jul-20					
Corporate Wide	CORPORATE-WIDE - SUPPLIERS FINANCIAL HEALTH/RESILIENCE	Amber	0	6	2	Jul-20					
Corporate Wide	CORPORATE -WIDE - COMMERCIAL MANAGER SCORECARD PROCEDURES	Amber	0	6	4	Jul-20					
Corporate Wide	CORPORATE-WIDE HIGHWAYS REPAIRS AND MAINTENANCE CONTRACT	Green	0	2	0	Jul-20					
Barbican Centre	CYBER SECURITY (2-2989, 8-2951, 10-3040, 11-2950)	Amber	0	2	3	Jul-20					
City of London Police	INTERPRETERS FEES	Amber	0	2	2	Jul-20					
City of London School	HEALTH & SAFETY	Amber	0	2	0	Jul-20					
Guildhall School	INCOME GENERATION	Amber	0	3	0	Jul-20					
Town Clerk's	ECONOMIC DEVELOPMENT	Amber	0	4	1	Jul-20					
Town Clerk's	RESPONSIBLE BUSINESS STRATEGY	Amber	0	4	0	Jul-20					
Guildhall School	BUDGET-SETTING & FINANCIAL MANAGEMENT	Amber	0	1	1	Aug-20					
Barbican Centre	DATA SECURITY	Amber	0	2	4	Aug-20					
Built Environment	PROJECT TEAM	Green	0	1	0	Aug-20					
Built Environment	MAJOR INCIDENT PLANNING	Green	0	0	1	Aug-20					
City Surveyors	CLFS ENABLING WORKS	Red	4	0	0	Aug-20					
Corporate Wide	CORPORATE-WIDE BUDGET ESTIMATE PREPARATION	Amber	0	2	0	Aug-20					
Guildhall School	STUDENT PETTY CASH	Green	0	3	8	Aug-20					
Open Spaces	KEATS HOUSE - INCOME AND EXPENDITURE	Amber	1	1	5	Aug-20					
Chamberlain's	PROCESS MAPS	Green	0	0	4	Sep-20					
Corporate HR	HUMAN RESOURCES - STAFF SICKNESS MANAGEMENT	Amber	0	3	1	Sep-20					
Corporate HR	PUBLIC SECTOR EQUALITIES DUTY	Amber	0	3	1	Sep-20					
Guildhall School	FRAUD RISK MANAGEMENT	Amber	0	6	1	Sep-20					
Police Authority	FLEET STREET	Amber	0	2	0	Sep-20					
City Surveyors	FRAUD RISK MANAGEMENT	Amber	0	3	1	Oct-20					
Corporate Wide	FIRE SAFETY RISK MANAGEMENT	Amber	0	6	1	Oct-20					

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Sir John Cass School	CASS CHILDREN & FAMILY CENTRE	Amber	0	1	0	Oct-20					
Sir John Cass School	KEY CONTROL PROCESSES	Amber	0	5	1	Oct-20					
Chamberlain's	IT ASSET MANAGEMENT	Amber	0	17	4	Oct-20					
Barbican Centre	EVENTS CONTRACTING (INCLUDING CANCELLATION ARRANGEMENTS)	Amber	0	1	7	Nov-20					
Chamberlain's	MAZARS - ITIL SERVICE DESK	Green	0	0	3	Nov-20					
Comptroller and City Solicitor	MANAGEMENT OF FREEDOM OF INFORMATION REQUESTS	Green	0	0	1	Dec-20					
Corporate Wide	GDPR COMPLIANCE	Amber	0	1	1	Dec-20					
Chamberlain's	NNDR	Green	0	0	2	Apr-21					